

# INTERNATIONAL TAX COMPLIANCE

## (ITC) FORM - INDIVIDUALS

To be completed by Plan Applicant(s) / Assignee(s)<sup>1</sup> Associated Plan Number(s): \_\_\_\_\_

### IMPORTANT INFORMATION

In recent years governments worldwide have sought to promote international co-operation in tax matters through automatic exchange of information. As a Financial Institution, Utmost Worldwide Limited ("Utmost Worldwide") is required to co-operate by holding, collecting and processing information about its customers and sharing this information with tax authorities both domestically and internationally in accordance with inter-governmental agreements.

The self-certification below has the purpose of identifying the citizenship / nationality and tax residency of all Applicants and/ or Assignees. Utmost Worldwide will rely on the information provided herein to satisfy our obligations under international requirements for the exchange of tax information.

Your financial adviser can help you to complete this International Tax Compliance Form ("ITC Form").

We recommend that you seek independent legal / financial advice should you have any questions or queries regarding the effect of this form prior to signing.

### 1. APPLICANT(S) / ASSIGNEE(S) DETAILS

#### First Applicant / Assignee

Surname:	<input type="text"/>	Forename(s):	<input type="text"/>
Permanent Residential Address <sup>2</sup> :	<input type="text"/>		
	<input type="text"/>		
City / Town of birth:	<input type="text"/>	Country of birth:	<input type="text"/>
		Date of birth:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

#### Second Applicant / Assignee (if any)

Surname:	<input type="text"/>	Forename(s):	<input type="text"/>
Permanent Residential Address <sup>2</sup> :	<input type="text"/>		
	<input type="text"/>		
City / Town of birth:	<input type="text"/>	Country of birth:	<input type="text"/>
		Date of birth:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

<sup>1</sup> If there are further Applicants / Assignees, please ensure that they complete a photocopy of this ITC Form and attach it to this ITC Form.

<sup>2</sup> This is the address in the jurisdiction in which the individual pays tax or claims to be a resident for tax purposes. If not resident for tax purposes in any jurisdiction, it is the jurisdiction in which the individual normally resides.

## 2. CONFIRMATION OF CITIZENSHIP / NATIONALITY AND TAX RESIDENCY

Please tick the boxes that apply to you and complete **all** information requested below:

### First Applicant / Assignee

I declare and certify that I am a citizen / national<sup>3</sup> of:

United States	<input type="checkbox"/>	Please state your US Federal Taxpayer Identification Number (TIN):	<input type="text"/>
<b>and/or</b>			
Other	<input type="checkbox"/>	Please state your countries of citizenship / nationality:	<input type="text"/>
			<input type="text"/>
			<input type="text"/>

**Please specify the jurisdiction(s) in which you pay tax or claim to be tax resident<sup>3</sup> and note your TIN (Tax Identification Number) for each jurisdiction:**

Jurisdiction:	<input type="text"/>	TIN 1:	<input type="text"/>
Jurisdiction:	<input type="text"/>	TIN 2:	<input type="text"/>
Jurisdiction:	<input type="text"/>	TIN 3:	<input type="text"/>

If there are additional jurisdictions to disclose please specify on a separate sheet together with the relevant Tax Identification Number(s).

Please tick the boxes that apply to you and complete **all** information requested below:

### Second Applicant / Assignee (if any)

I declare and certify that I am a citizen / national<sup>4</sup> of:

United States	<input type="checkbox"/>	Please state your US Federal Taxpayer Identification Number (TIN):	<input type="text"/>
<b>and/or</b>			
Other	<input type="checkbox"/>	Please state your countries of citizenship / nationality:	<input type="text"/>
			<input type="text"/>
			<input type="text"/>

**Please specify the jurisdiction(s) in which you pay tax or claim to be tax resident<sup>4</sup> and note your TIN (Tax Identification Number) for each jurisdiction:**

Jurisdiction:	<input type="text"/>	TIN 1:	<input type="text"/>
Jurisdiction:	<input type="text"/>	TIN 2:	<input type="text"/>
Jurisdiction:	<input type="text"/>	TIN 3:	<input type="text"/>

If there are additional jurisdictions to disclose please specify on a separate sheet together with the relevant Tax Identification Number(s).

Please note that in certain circumstances, Utmost Worldwide may need additional documentation (e.g. for US citizens, submission of IRS forms W-8 or W-9 may be necessary) to support the self-certifications provided above. Utmost Worldwide or your financial adviser will inform you if any additional documentation is required.

<sup>3</sup> If you are unsure of your citizenship and/or jurisdiction(s) of tax residency you should seek professional advice.

<sup>4</sup> If you are unsure of your citizenship and/or jurisdiction(s) of tax residency you should seek professional advice.

### 3. DECLARATION

I hereby confirm that:

- The answers given in this ITC Form are complete, accurate and not misleading and no material fact has been omitted or concealed.
- I confirm that I have read and understood the Data Privacy Notice that is available on the Utmost Worldwide Web Site – utmostworldwide.com and that I consent to any personal or financial information relating to me held by Utmost Worldwide at any time (including information that may be considered confidential or that may constitute personal data for purposes of data protection legislation) (“Personal Data”) being disclosed and transferred to other Companies in our corporate group and, where Personal Data is collected by a branch of Utmost Worldwide established outside Guernsey, to other Companies within our corporate group.
- I consent to such Personal Data being disclosed and transferred by Utmost Worldwide to any other Company in our corporate group:
  - i) to any person or entity to whom Utmost Worldwide or any other Company in our corporate group is under an obligation or otherwise required to make disclosure under any laws, rules, regulations, codes of practice, guidelines or guidance issued by any legal, judicial, regulatory, governmental, central bank, tax, law enforcement or other authorities, or self-regulatory or industry bodies or associations binding on or applying to Utmost Worldwide or any other Company in our corporate group or with which they are expected to comply or to whom it is otherwise appropriate or desirable to make such disclosure in connection with the purposes described in the “Important Information” section at the start of this ITC Form (including without limitation if this is required to prevent the application of withholding taxes and notwithstanding any certification given by me); or
  - ii) pursuant to any contractual or other commitment of Utmost Worldwide or any other Company in our corporate group with, or pursuant to any direction, request or requirement (whether or not having the force of law) of, any local or foreign legal, judicial, regulatory, governmental, central bank, tax, law enforcement or other authorities, or self-regulatory or industry bodies or associations, wherever located and which may exist currently or in the future, and waive all rights I have, if any, to prohibit or restrict such disclosure.
- I understand that I am required to inform Utmost Worldwide within 30 days of a change in circumstances / details which indicates that the information provided pursuant to this ITC Form has changed.
- I have read and understood the Terms and Conditions of the relevant Plan and understand that the terms of any Notice provided to me, this ITC Form and the declarations made by me herein will form part of the contract with Utmost Worldwide.

Signature of the First Applicant / Assignee:

Signature of the Second Applicant / Assignee (if any):

Date:

D	D	M	M	Y	Y
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Date:

D	D	M	M	Y	Y
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## A WEALTH *of* DIFFERENCE

Utmost Worldwide Limited is incorporated in Guernsey under Company Registration No. 27151 and regulated in Guernsey as a Licensed Insurer by the Guernsey Financial Services Commission under the Insurance Business (Bailiwick of Guernsey) Law, 2002 (as amended).

**Registered Head Office:** Utmost House, Hirzel Street, St Peter Port, Guernsey, Channel Islands GY1 4PA.

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Websites may make reference to products that are not authorised or regulated and/or are not available for offering to planholders in certain jurisdictions.

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