

Using the editable fields?

To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

Quilter International

Starting or adding to your Executive Investment Account

*For accredited investors – individual,
joint, corporate and trustee investors*

Customer ID number(s) If known please enter the Customer ID number(s)	
Applicant 1	<input type="text"/>
Applicant 2	<input type="text"/>
Financial adviser representative	
Quilter International Adviser ID	<input type="text"/>
MAS representative number	<input type="text"/>
Name of financial adviser representative	
Company name	
Address	
Telephone number	
Fax number	
E-mail address	
Quilter International only accepts business introduced by companies which have Terms of Business with us.	

This document was last updated in March 2021. Please confirm with your financial adviser representative that this is the most up-to-date document for your product or servicing needs.

We only sell our products through financial adviser representatives as we believe it is important you receive independent financial advice. As it is you who chooses your financial adviser representative, you need to bear in mind that they are acting on your behalf and not on behalf of Quilter International. You are responsible for their actions or omissions.

The Executive Investment Account is issued by Quilter International Isle of Man Limited Singapore Branch on behalf of Quilter International Isle of Man Limited. All references to **Quilter International, we, us** and **our** in this application form mean Quilter International Isle of Man Limited.

Important taxation information

Under Automatic Exchange of Information (AEOI) regulations, Quilter International is required to obtain information about an applicant's tax status. To enable us to comply with these regulations, when submitting this application form you must also submit the **'Taxation information and self-certification – for entity investors'** or **'Tax declaration and self-certification for Trusts (where the trustees are all individuals)'** form. Completion and submission of a self-certification is mandatory and failure to provide one could result in your Portfolio being reported under AEOI by default. If any of the information contained in the self-certification changes, please advise Quilter International promptly so we can determine if a new self-certification is required.

Important information for you – the applicant

Your application can be submitted online via Wealth Interactive. If you choose this option, your application can be submitted to us immediately without the delay that can be experienced through the postal system.

This application form should be read together with the **Policy Terms, Confirmation of Charges, Product Summary and Product Brochure**, which are available from Quilter International. You will also need to read **Your Guide to Life Insurance** (this can be downloaded from the Life Insurance Association's website: www.lia.org.sg).

Please note this application form must not be used by applicants residing/incorporated in Hong Kong or the United States of America or its territories.

Before completing the application form, please make sure you receive and read through the relevant product information:

- Executive Investment Account brochure
- Executive Investment Account product summary
- Executive Investment Account policy terms & conditions SO1

For ease of reference we have used an arrow ► to highlight certain facts.

Online service account on wealth interactive

When you apply for your Executive Investment Account, we will automatically set you up with an Online Service Account on our Wealth Interactive service. You will receive an e-mail from us containing a link that lets you activate this service.

Once your policy and your Online Service Account are live, you can sign in to Wealth Interactive whenever you want to review your policy and carry out key transactions. You can also find all the information you need about your investment with us in one place.

When we need to send you communications, such as policy valuations for example, we will generally do this through your Online Service Account, although there will be times when we still need to correspond with you by post.

For trustee applicant(s)

Please ensure that the trust deed provisions allow delegation of trustees' powers to one trustee only, and for corporate trustees the articles of association permits the company to delegate approval on behalf of the company to one authorised signatory or there has been a board resolution that confirms that one authorised signatory has authority to act on behalf of the corporate trustee solely, later referred to as the Lead Policyholder.

If delegation is not allowed then you MUST opt to transact with us and receive communications from us by post rather than online. Please tick if applicable (✓).

For corporate applicant(s)

Please ensure that your memorandum of association permits the company to delegate approval on behalf of the company to one authorised signatory, later referred to as the Lead Policyholder.

If delegation is not allowed then we recommend that the company opt to transact with us and receive communications from us by post rather than online.

If the company would prefer to receive communications from us by post rather than online, please tick here (✓).

Free look provision

You have the right to cancel your Executive Investment Account or additional investment and obtain a refund of any premium(s) paid, less any applicable charges* and any fall in the value of the assets linked to your Executive Investment Account. You have 30 days from the date that you receive the Schedule for the initial premium, or letter accepting the additional investment to let us know you want to cancel. Further information on how to cancel can be found in the Executive Investment Account Terms & Conditions.

**Where relevant, applicable charges include non-refundable fund charges, fees we have paid to your financial adviser representative on your behalf and bank charges.*

Completing the form

To complete this form:

- use CAPITAL LETTERS only
- use blue or black ink
- specify choices as appropriate
- complete all relevant sections
- do not use correction fluid; any amendments should be crossed out and initialled by all applicants (authorised signatories of the company or corporate trustee, if applicable).

Please ensure that you complete all relevant sections. We will contact you regarding any missing information which will need to be provided to us in writing, and this may delay your application.

Section A – Type of application

Type of client, please tick (✓)

Individual

Joint

Corporate

Non-corporate Trustee (Individual/Joint)

Corporate Trustee

New investment

If this is an application for a NEW INVESTMENT, please tick here (✓).

Additional Investment

If this is an application for an ADDITIONAL INVESTMENT, please provide your existing policy number: (you can find this in your policy documentation)

► Unless your details have changed, you only need to complete the full forename(s), surname and employment details of each policyholder in section B1 or Company name in B2 and full forename(s) and surname of each life assured (if applicable) in section C. YOU MUST ALSO COMPLETE SECTIONS D, E, F, G, K, L and N.

Policy currency

I wish my policy to be valued in (✓)

S\$

US\$

€

£

Other
(state currency)

Please note if no currency is entered your policy currency will be US dollars (US\$). The policy currency cannot be changed after the policy is set up.

Reason for investment
(e.g. saving for retirement)

Lead policyholder for online service accounts

For trustee/corporate applicant on page 2 which indicated that the trust deed provision or memorandum of association does not permit the delegation of approval to one trustee/authorised signatory, please do not complete the following part and proceed to section B.

For joint applicants (Each applicant must agree to select the same Lead Policyholder.)

We, the applicant(s), appoint (insert name in the box)

to act as the Lead Policyholder for policies comprising our Executive Investment Account in accordance with the Policy Terms.

The specific email address* which will be used for the Online Service Account is

For corporate applicants

Under appropriate authority the company must appoint an individual as the Lead Policyholder to access and transact online on behalf of the company. If this is not the first application for this company AND the company already has a Lead Policyholder then it is not necessary to complete this section. The directors/authorised signatories must agree to select the same Lead Policyholder.

The company appoints (insert name in the box)

to act as the Lead Policyholder for the policies comprising our Executive Investment Account accordance with the Policy Terms.

The specific email address* which will be used for the Online Service Account is

For trustee applicants

If you are a corporate trustee, do you want to offer our Online Service Account, Wealth Interactive, to all the members?

Yes

No

If allowed by the provisions of the trust deed and trust law, and for corporate trustees if also permitted in the articles of association, one of the trustees must be appointed as the Lead Policyholder and all trustees must agree to this appointment. The declaration on page 22 sets out the role of the Lead Policyholder.

We, the trustee(s), appoint (insert name in the box)

to act as the Lead Policyholder for the policies comprising our Executive Investment Account in accordance with the Policy Terms.

The specific email address* which will be used for the Online Service Account is

*Please note each person must have a unique email address. An email address cannot be shared by users on Wealth Interactive.

Section B1 – Individual/Joint/Non-Corporate Trustee Applicant(s)

In this section, please give details of all individual applicant(s). Applicants must be at least 18 years old and the maximum age is 89. The maximum age for a life assured is 89.

If there are any further applicants, please photocopy this section, attach the details with this application form and tick here (✓)

► If additional pages are added, each separate page must be initialled by all applicants.

	Applicant 1	Applicant 2 (if any)																
Title (✓)	Mr Mrs Miss Other	Mr Mrs Miss Other																
Full forename(s)	<input type="text"/>	<input type="text"/>																
Surname	<input type="text"/>	<input type="text"/>																
Maiden name, previous name or alias	<input type="text"/>	<input type="text"/>																
Relationship to first applicant	<input type="text"/>	<input type="text"/>																
Sex (✓)	Male Female	Male Female																
Date of birth	<table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	<table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y											
D	D	M	M	Y	Y	Y	Y											
NRIC/FIN number	<input type="text"/>	<input type="text"/>																
Nationality	<input type="text"/>	<input type="text"/>																
Dual nationality (if applicable)	<input type="text"/>	<input type="text"/>																
Passport number/national identity card number	<input type="text"/>	<input type="text"/>																
Expiry date	<table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	<table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y											
D	D	M	M	Y	Y	Y	Y											
Country of issue	<input type="text"/>	<input type="text"/>																
Country of residence	<input type="text"/>	<input type="text"/>																
Residential address (where you are currently living, we do not accept PO Box or C/O addresses)	<input type="text"/> <input type="text"/> Postcode	<input type="text"/> <input type="text"/> Postcode																
Correspondence address	<input type="text"/> <input type="text"/>	<input type="text"/> Postcode																

Quilter International accepts no responsibility for the consequences of sending documentation to this correspondence address, or to an address notified subsequently. Quilter International reserves the right to send correspondence to the residential address where regulations prevent it being sent to any other address.

Telephone number including area code (daytime)	<input type="text"/>	<input type="text"/>
Mobile number including area code (Please note each applicant must provide valid mobile number)	<input type="text"/>	<input type="text"/>
E-mail address (Please note each applicant must have a unique e-mail address. An e-mail address cannot be shared by users on Wealth Interactive.)	<input type="text"/>	<input type="text"/>

Is the applicant(s) also going to be a life assured? (✓)

Yes

No

Yes

No

There must be an insurable interest between the applicant and the life assured where the applicant would suffer loss in the event of the death of the life assured.

Employment details

Applicant 1

Applicant 2 (if any)

For additional investment, if your employment details have not changed since the last application, please tick here. (✓)

Please give details of your employer or your own company, if self-employed. If you have retired or are not currently employed please include details of your previous employer or your own company. If you have never been employed, please state N/A.

Please also enter your final year's salary/income and bonus if any.

Employment status (✓)	<input type="checkbox"/> Employed <input type="checkbox"/> Retired	<input type="checkbox"/> Self Employed <input type="checkbox"/> Not Employed	<input type="checkbox"/> Employed <input type="checkbox"/> Retired	<input type="checkbox"/> Self Employed <input type="checkbox"/> Not Employed
Occupation (including role, e.g. Director and sector, e.g. Accountancy. If you have retired please include your occupation before retirement. Please check that the occupation is not on our list of prohibited occupations as confirmed on our Source of Funds and Source of Wealth guidelines)	<input type="text"/>		<input type="text"/>	
Name of employer or your own company	<input type="text"/>		<input type="text"/>	
Start date with current/last employer or your own company	<input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y		<input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y	
Address of employer or your own company	<input type="text"/>		<input type="text"/>	
	<input type="text"/>		<input type="text"/>	
	Postcode		Postcode	
Country	<input type="text"/>		<input type="text"/>	
Employer's phone number	<input type="text"/>		<input type="text"/>	
Employer's e-mail address	<input type="text"/>		<input type="text"/>	
Website address of employer or your own company (if any)	<input type="text"/>		<input type="text"/>	
Last year's gross salary/income	<input type="text"/>		<input type="text"/>	
Currency (✓)	<input type="checkbox"/> S\$ <input type="checkbox"/> US\$ <input type="checkbox"/> € <input type="checkbox"/> £ <input type="text"/> Other (state currency)	<input type="checkbox"/> S\$ <input type="checkbox"/> US\$ <input type="checkbox"/> € <input type="checkbox"/> £ <input type="text"/> Other (state currency)		
Last year's bonus amount (if applicable)	<input type="text"/>		<input type="text"/>	
Currency (✓)	<input type="checkbox"/> S\$ <input type="checkbox"/> US\$ <input type="checkbox"/> € <input type="checkbox"/> £ <input type="text"/> Other (state currency)	<input type="checkbox"/> S\$ <input type="checkbox"/> US\$ <input type="checkbox"/> € <input type="checkbox"/> £ <input type="text"/> Other (state currency)		



If you receive income from another source, please provide full details here (e.g. dividend, investment, rental income including their nature and source)

Section B2 – Corporate/corporate trustee applicant

In this section, please give details of the corporate applicant.

Corporate applicant

Please tick (✓)

Private company

Public company

Other

Company name

Contact person

Country of registration

Date of incorporation

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Registered office address

(This information must be provided in full. We are unable to accept PO Boxes and 'care of' addresses)

<input type="text"/>	
<input type="text"/>	
<input type="text"/>	Postcode

Correspondence address

<input type="text"/>	
<input type="text"/>	
<input type="text"/>	Postcode

Quilter International accepts no responsibility for the consequences of sending documentation to this correspondence address, or to an address notified subsequently. Quilter International reserves the right to send correspondence to a registered address where regulations prevent it being sent to a third party.

Telephone number including area code (daytime)

E-mail address

(Please note each person must have a unique email address. An email address cannot be shared by users on Wealth Interactive.)

Corporate website address

Please state the company's main business (for example manufacturing or trading company)

Authorised signatories

Please confirm the minimum number of authorised signatories for the company needed to give instructions.

The company directors/authorised signatories of the company must complete and sign the declaration in Section L.

► **Additional documents are needed to evidence the identities of at least two directors, one of whom must be an executive director of the company.**

Section B3 – Details of the trust – this section refers to trustee applicants

In this section, please give the following details: the name of the trust (for example, 'the Mary Jones Policy Trust dated 1 April 1990', 'the John Brown Will Trust') and the date the trust was created on.

The trust name is:

The trust was created on:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Trust details:

► Please explain the reason for establishing the trust, the type of trust it is and detail the source of the trust assets and the country of origin.

Section B4 – Politically exposed person – this section applies to all applicant types

If the applicant(s) or any other party connected to this application either now or in the past/future could be classed as a politically exposed person (PEP), or connected with a PEP, please provide details.

A politically exposed person is someone holding an important public position, or a person clearly related to them. Examples of these are:

- Heads of State or Government
- Senior Government officials
- Senior executives of publicly owned corporations
- Senior politicians
- Judicial or military officials
- Important political officials.

All applicants must complete and sign the declaration in Section L.

► If a client is a PEP, or is linked to a PEP, Source of Funds evidence must be provided with the application and funding must come from the applicant's bank account. Due to the increased risk of accepting business from PEPs and the specific regulatory requirements relating to them, Quilter International will require Source of Wealth information which can be provided using the Source of Wealth Questionnaire, and may also require Source of Wealth evidence.

Section C – Details of any additional life/lives assured (if different from applicant(s))

Please note you do not need to complete this section if the applicant(s) is/are going to be the only life/lives assured. The maximum age for a life assured is 89.

If there are any further lives assured, please photocopy this page, attach the details with this application form and tick here (✓)

► If additional pages are added, each separate page must be initialled by all applicants.

There must be an insurable interest between the applicant and the life assured where the applicant would suffer loss in the event of the death of the life assured.

	First additional life assured (if any)	Second additional life assured (if any)																
Title (✓)	Mr Mrs Miss Other	Mr Mrs Miss Other																
Full forename(s)	<input type="text"/>	<input type="text"/>																
Surname	<input type="text"/>	<input type="text"/>																
Maiden name, previous name or alias	<input type="text"/>	<input type="text"/>																
Sex (✓)	Male Female	Male Female																
Date of birth	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y											
D	D	M	M	Y	Y	Y	Y											

Residential address
(where you are currently living, we do not
accept PO Box or C/O addresses)

Postcode	Postcode

Country of residence

--	--

Nationality

--	--

Dual nationality
(if applicable)

--	--

NRIC/FIN number

--	--

Relationship to applicant

--	--

Section D – Source of Funds - Bank details of where funds are being remitted from

This section must be completed in all instances.

If this is an application for a new investment, this section must be completed in full in all instances.

For additional investment, if the source of funding is the same as the last application, please tick here and indicate the payment amount and currency only. (✓)

► The premium payment must come from an account held in the name of the applicant(s), company or trust.

► If you are making multiple payments, please photocopy this page, attach the details and the reason why multiple payments are being made with this application form and tick here. (✓)

Payment amount

Payment currency

Bank account holder (name as stated on
bank account)

--

Bank account number/ IBAN

Sort code (if applicable)

			-				-			
--	--	--	---	--	--	--	---	--	--	--

SWIFT or BIC code (if applicable)

Bank name

--

Bank address

	Postcode

Country

--

How long have you held this account?

Y	Y	years	M	M	months
---	---	-------	---	---	--------

- Accounts within the UK, Jersey, Guernsey, Isle of Man or Gibraltar require a bank account number and sort code.
- Premium payments made from banks outside the UK require a SWIFT or Bank Identifier Code (BIC), and an International Bank Account Number (IBAN).

Section E – Investment details

► Please refer to page 29 of this application form for bank details and payment methods.

Premium payment

Please confirm the total amount to be invested below. If the investments are in multiple payment methods and in different currencies, please state the total in chosen Policy currency at the latest applicable exchange rates.

Currency (✓) S\$ US\$ € £ Other
(state currency)

Total anticipated investment amount

(Minimum initial investment: S\$100,000/ US\$75,000/ £50,000/ €75,000 or currency equivalent)

(Minimum additional investment: S\$5,000/ US\$3,750/ £2,500/ €3,750 or currency equivalent)

Amount

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Payment method (✓) Electronic bank transfer Asset transfer Cheque

Where you have chosen an authorised custodian, are you remitting your premium to be paid: (✓)

to Quilter International; or via the authorised custodian

Please note premium payment from cashier's order/ banker's draft is not acceptable.

► **The premium payment must come from an account or transferred assets held in the name of the applicant(s)/ company/trust.**

► **Please remember to enclose either a copy of receipt of your electronic bank transfer payment (for banking details see bank details and payment methods on page 29) or your asset transfer form (your financial adviser representative can provide you with the necessary details) with this application form.**

Warning

It is usually disadvantageous to replace all existing life insurance policies with a new one.

Some of the disadvantages include:

- You may incur transaction costs without gaining any real benefit from the switch.
- The new investment product may offer a lower level of benefit at a higher cost or the same cost, or offer the same level of benefits at a higher cost.
- You may incur penalties for terminating the existing investment product.
- The new investment product may be less suitable for you.

In your interest, we would advise that you consult your financial adviser representative before making a final decision.

If you sent in a premium with the application, we will offer you a refund of the premium in the event that you were not aware of the disadvantages of replacing one policy with another and do not wish to proceed with the application.

Replacement of life policies

Is this policy to replace or intended to replace any insurance(s) or other Designated Investment Product(s), such as a unit trust or life policy, held with another financial institution including insurance companies and banks?

Yes

No

If 'Yes' please provide the following details;

- Name of existing policy/product replaced (to be replaced) and the relevant financial institution.
- Reason for replacement policy.
- Surrender penalty incurred.
- Length of time the existing policy/product held.

Declaration

I confirm that I have consulted my financial adviser representative before making a final decision to proceed with this application who has made me aware of the disadvantages of replacing my old life Policy with a new one and I am happy to proceed with this application.

Signature of applicant(s) (If two applicants, both must sign. If there are more than two applicants, please continue on a separate sheet.)

Signature of first
or sole applicant

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Full name

Signature of
second applicant

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Full name

Section F1 – How assets are managed

In this section, you will tell us how your policy will be managed. You can request Quilter International to appoint a Discretionary Asset Manager or you can appoint an investment adviser representative to manage the Assets held with each custodian. If you appoint any authorised custodian, you need to complete the 'Request to transfer to an authorised custodian account' form and Letter of Authority (if applicable) which is available from your financial adviser representative.

Default Custodian – Assets held by Quilter International

Authorised Custodian – Assets held by an authorised third party custodian

The options available to choose from are:

- 1) All Assets held by our default custodian
- 2) Some Assets held by our default custodian and some by an authorised custodian
- 3) All Assets held by an authorised custodian
- 4) All Assets held by up to three different authorised custodians

Where you request to appoint more than one authorised custodian, you also need to select a lead custodian who we will instruct to sell Assets to pay for portfolio fund charges debited to the transaction account held with Quilter International. Please refer to your Policy Terms for further information on the portfolio fund charges debited to the transaction account.

Investment Options

- 1) You can manage the investment yourself
- 2) Request that we appoint a Discretionary Asset Manager on a Discretionary basis
- 3) You can appoint an Investment Adviser Representative on a Discretionary or Advisory basis

IMPORTANT: The option to request a Discretionary Asset Manager is only available where we have established legal agreements in place with the Discretionary Asset Manager. You must complete a form for each authorised custodian and investment adviser representative you wish to appoint and/or each Discretionary Asset Manager you wish us to appoint. Your Financial Adviser will be able to provide you with the relevant forms.

Please detail the investment manager that you wish to appoint to manage the Assets at the authorised custodian(s).

Please select the custodian(s) that will hold the assets.	Name of custodian	Please select the investment manager for each selected custodian		
		Policyholder	Investment adviser representative	Discretionary asset manager
Default custodian	Default			
Default custodian and one authorised custodian	Default			
One authorised custodian				
Two authorised custodians	Lead:			
Three authorised custodians	Lead:			

Transferring your premium and/or assets

You can send your premium via electronic bank transfer and/or Asset transfer. Please confirm below how much of your premium will be held with the custodian selected, if applicable, and how the premium will be sent.

Assets held by	Premium payment being sent by (please state currency and amount/ value)		Asset transfer (please state currency and total value of assets) See note 2 below
	Electronic bank transfer	Payment to be sent to	
Default custodian		Default Custodian See note 2 below	
An authorised custodian		Quilter International Authorised Custodian	
A second authorised custodian		Quilter International Authorised Custodian	
A third authorised custodian		Quilter International Authorised Custodian	

- Notes**
- 1) A detailed list of any Assets to transfer must be supplied with the application and approved by Quilter International before any transfer takes place.
 - 2) If you have selected the default custodian, electronic bank transfers and Asset transfers must be sent to Quilter International.
 - 3) If you have selected to use an authorised custodian, Asset transfers must be sent directly to the authorised custodian.

Section F2 – Asset choice to invest with our default custodian

You or your investment adviser representative, if applicable, should provide any future investment instructions online via Wealth Interactive in the first instance. Alternatively, please use this section to list the investments which your premium should be invested in.

Quilter International does not provide investment advice and has no responsibility for the performance of your selection of investments. For individual/joint applicants, it is for you and your investment adviser representative to choose the investments most suitable for you. For corporate/trustee applicants, it is for you and your investment adviser representative and appointed custodian to choose the investment most suitable for you.

You do not need to complete this section if you have appointed an authorised custodian.

- Please note that you can invest in as many assets as you wish, subject to a minimum of S\$5,000/US\$3,750/€3,750/£2,500 or currency equivalent of £2,500 in each holding. Some asset providers may have a higher minimum investment requirement and this minimum will prevail.

A delay in investing your premium may occur if the instructions are illegible, unclear or relate to an asset which is not normally permitted. Please note that we are unable to backdate transactions resulting from the delay caused in clarifying your instructions.

Security identifier SEDOL/ISIN number	Security/Fund name – please enter names in full.	Accumulation/ Income unit requirement (if applicable)	Share class (if applicable, for example A, B or C)	Base currency of security/ fund (eg GBP, USD)	Invest- ment % (whole % numbers only)
					%
					%
					%
					%
					%
					%
					%
					%
					%
					%
Total (must add up to 100%)					%

All assets required shall either be quoted on a stock exchange recognised by Quilter International or be specifically agreed by Quilter International as eligible to be purchased for the portfolio fund.

All assets are held in the name of Quilter International by our default custodian and all dealing and contract notes must be made and rendered in the name of Quilter International.

In case we need to clarify the investment choice details above, please provide us with a contact name and telephone number.

Fax number

E-mail address

Section G – Source of Funds

Activity which generated amount to be invested

Note – We need to understand how your initial premium was acquired. Please provide full details of this below

(✓) Description	Details required	Details	
Accumulated income (including salary, bonus and fees)	Currency:	Amount:	
	Number of years income accumulated		
	Name of account where funds have been held		
	Account number		
	Institution where it has been held		
	How long it's been held in the institution referred to above		
	Has the accumulated income amount stated been accumulated from the employer detailed in section B1 of this application? If not, please provide your previous employment details below.	Yes	No
	Name of previous employer		
	Start date with your previous employer	<div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>	
	Finish date with your previous employer	<div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>	
Full address of previous employer			
	<div>Postcode</div>		
Occupation (including role, e.g. Director and sector, e.g. Accountancy. If you have retired please include your occupation before retirement. Please check that the occupation is not on our list of prohibited occupations as confirmed on our Source of Funds and Source of Wealth guidelines)			
Last annual salary at previous employment	Currency:	Amount:	
Last bonus at previous employment	Currency:	Amount:	

**Sale of interest
in company**

Name of company

Nature of business

Address of company

Postcode

Connection to company
(i.e. owner, partner, shareholder, etc.)

Total sale amount

Currency:

Amount:

Date of sale

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Net amount you
received from sale
(minus charges, solicitors fees etc.)

Currency:

Amount:

**Sale of shares/
investments**Name of company that held the
shares/investments
(i.e. bank, stockbroker or insurance company)

Address of the above company

Postcode

How were they sold? (i.e. bank, stockbroker
or other agent, if applicable)Description of shares/investments
(i.e. government bonds, equities, etc.)

Length of time shares/investment held

Total amount of sale

Currency:

Amount:

Date of sale

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Sale of propertyIf you are not the beneficial
owner of this property,
please select a different
option for Source of Funds
that is more appropriateAddress of property sold
(including postcode if applicable)

Postcode

Total sale amount

Currency:

Amount:

Date of sale

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Net amount you
received from sale

Currency:

Amount:

Inheritance

Donor's name

Relationship to donor

Date of donor's death

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Details of inheritance
(i.e. cash, property, shares etc.)

Amount received

Currency:

Amount:

Date received

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Gift

Name of person who gave the gift

Relationship to donor

Reason for gift

Description of gift

Total amount received

Currency:

Amount:

Date received

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Competition or gambling win

Name of organisation

How was the money won?

Total amount won

Currency:

Amount:

Date received

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Maturing investment/policy claim/replacement policy

Reason for policy claim or replacement policy (if applicable)

Name of company/policy provider

Name of person who held the policy

Total amount received

Currency:

Amount:

Surrender penalty incurred (if any)

Date received

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Length of time investment/policy held

Compensation

Who paid the compensation
(i.e. name of organisation or individual)

Reason for compensation

Total amount received

Currency:

Amount:

Date received

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Loan

Name of loan provider

Address of loan provider

Postcode

Reason for loan

Total amount borrowed

Currency:

Amount:

Date of loan

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Other

Nature of activities generating the wealth

Role in relation to above activities

Period over which the activities occurred

Currency:

Amount:

Date received

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

We may require further information or documentary evidence in addition to the documents already requested to support your application, in relation to your investment, before we can process the application. Your Financial Adviser can establish if further information or documentary evidence is needed by referring to our Source of Funds and Source of Wealth Guidelines or contacting us before sending in your application form.

Section H – Regular withdrawals (optional)

If you would like to receive regular withdrawals from your Policy, please complete the 'Portfolio Bond Withdrawal/Closure form' which is available from your financial adviser representative.

Section I – Number of policies

New contracts only

Please enter the number of policies you would like:

The number of policies cannot be changed after the Policy is set up.

- We normally issue 12 policy schedules per contract. If you require a different number of policies, please insert the number required.
- The minimum initial investment amount for each individual policy is £500 (US\$750, €750 or other currency equivalent).
- You can ONLY select more than 12 policies if the investment is over £50,000 (or other currency equivalent) as the minimum investment amount for each policy/account has to be met.
- If you choose a number of policies which results in less than £500 (US\$750, €750 or other currency equivalent) per policy, we will automatically reduce the number of policies to a level where a minimum of £500 (US\$750, €750 or other currency equivalent) per policy is achieved.

Section J1 – Appointment of an investment adviser representative

Client agreement – to be completed by the applicant(s)/policyholder(s)

Appointing an investment adviser representative to your executive investment account

Policy type

Executive Investment Account

Policy number (to be completed by Quilter International)

--	--	--	--	--	--	--	--	--	--

Investment Adviser Representative details

Name of firm

Contact name

--

Address

	Postcode

Country

--

Appointment of an investment adviser representative

This Policy allows investment into various types of assets including assets which are only suitable for Professional Investors. If you choose to invest in this Policy, you should ensure that you accept the level of risk associated with Professional Investor assets including the risk that the investment into such an asset:

- a) could provide a lower degree of investor protection and regulatory safeguards; and
- b) could result in a loss of significant proportion of some or all of the sums invested; and
- c) may have a minimum duration, impose significant redemption penalties or be illiquid.

If you do not accept the level of risk associated with these assets, you should inform your Investment Adviser Representative not to invest in assets which are only suitable for Professional Investors. Quilter International will not restrict the choice of assets available under your Policy.

- (1) I confirm that I, as the policyholder, am legally entitled to effect any of the policy options contained in the policy terms.

Basis on which this authority is given (✓) (tick one option only)

- (2) I appoint and authorise the Investment Adviser Representative to act in the following capacity. Where I have selected:

Option 1 – Investment Adviser Representative Authority:

It is agreed that the Investment Adviser Representative will discuss any proposed alternations to the investment composition of the policy with me and obtain my written agreement before any changes are made and I authorise the Investment Adviser Representative to submit written instructions to Quilter International on my behalf.

Option 2 – Discretionary Investment Adviser Representative Authority:

It is agreed that all investment decisions have been delegated to the Investment Adviser Representative who has complete discretionary authority, without consulting me to make all investment decisions, to buy or sell assets, hold cash or other investments and I authorise Quilter International to act upon the investment instructions of the Investment Adviser Representative.

- (3) I appoint the Investment Adviser Representative detailed above to act on my behalf in respect of my policy on the basis specified in point (2) above. I understand that the Investment Adviser Representative is not acting on behalf of Quilter International.
- (4) I understand that Quilter International may need to:
- (a) verify the identity of the Investment Adviser Representative;
 - (b) verify that the Investment Adviser Representative is regulated by any appropriate regulatory authority; and
 - (c) check that they have any qualifications required by law or by regulation for the activity to be carried out.
- (5) I acknowledge and agree that such confirmation is to enable Quilter International to comply with its regulatory duties as an authorised insurer in Singapore. I understand that this is not, and should not be construed as, any endorsement of an Investment Adviser Representative by Quilter International and that Quilter International does not warrant the Investment Adviser Representative's suitability or regulatory credentials.
- (6) I agree that Quilter International is not responsible for any loss or liability to my policy arising from this appointment.
- (7) I confirm that I take full responsibility for the acts or omissions of the Investment Adviser Representative, including any loss in the policy as a result of their acts or omissions (including, but without limitation, failure on the part of the Investment Adviser Representative to produce a reasonable investment return in relation to the policy).
- (8) Further, I for myself and my estate(s) indemnify Quilter International against all claims, demands and actions against Quilter International relating to any such loss suffered. This includes all costs and expenses arising from or in respect of the activities and performance of the Investment Adviser Representative (including, but without limitation, the cost of defending in any court of law any such claim demand or action against Quilter International).
- (9) If Quilter International becomes aware that an Investment Adviser Representative:
- (a) has been refused membership by, or has been expelled from, a professional organisation; or
 - (b) is under investigation by, or has been the subject of, disciplinary action by a regulatory authority; or
 - (c) has or is carrying out activities in a manner which could prejudice or be harmful to its reputation; then

Quilter International reserves the right to cease to act on the instructions of the Investment Adviser Representative with immediate effect and Quilter International will advise me of the fact.

(10) Where I have chosen 'Investment Adviser Representative Authority' Option 1:

- (a) I understand that all decisions in relation to the investment strategy and alterations to the investment composition of my policy remain my sole responsibility.
- (b) I have agreed with the Investment Adviser Representative that they must:
 - (i) discuss any proposed alterations to the investment composition of the policy with me; and
 - (ii) have my prior written agreement before any changes are made;

- (c) The date instructions are received will be in accordance with the policy terms.
- (i) If Quilter International receives more than one instruction on a given day they will be processed in the order in which they are received.
- (ii) Once the instruction has been received it is irrevocable.
- (d) I agree to notify Quilter International in writing of any changes which affect the appointment or authority of the Investment Adviser Representative. I understand that Quilter International will continue to accept my instructions which are sent by the Investment Adviser Representative unless I advise Quilter International of a change in the Investment Adviser Representative's appointment and authority.
- (e) I understand that I can terminate the appointment of the Investment Adviser Representative by giving notice in writing to both the Investment Adviser Representative and Quilter International at their Branch Office of the termination of this appointment. The termination will not affect any transactions already carried out or for which binding instructions have been given, directly or indirectly.

(11) Where I have chosen 'Discretionary Investment Adviser Representative Authority' Option 2:

- (a) I understand that the Investment Adviser Representative has complete discretionary authority, without consulting me, to make all investment decisions to buy or sell assets, hold cash or other investments. I authorise the Investment Adviser Representative to exercise on my behalf any of the options available under the policy terms applicable to my Policy relating to the investment allocations and/or investment conversion.
- (b) I confirm that I have delegated all investment decision making to the Investment Adviser Representative.
- (c) I understand that Quilter International will act exclusively on an authority once granted until I advise Quilter International in writing at its Branch Office of the termination of this appointment. The termination will not affect any transactions already carried out or for which binding instructions have been given, directly or indirectly.

(12) Investment Adviser Representative fee

- (a) I agree to pay the Investment Adviser Representative fee specified here:

Payment will be based on the value of the portfolio fund at the last Quarterly Date.

% a year

OR

The set amount A year in the following currency (✓): S\$ US\$ € £ Other (state currency)

- (b) I have agreed to the Investment Adviser Representative fee at the rates specified in this Application. I authorise Quilter International to withdraw an amount from my policy which will be paid quarterly at each Quarterly Date and paid directly to my Investment Adviser Representative.
- (c) I understand that the Investment Adviser Representative fee will be treated as a withdrawal from my policy.

Signature of applicant(s) (If two applicants, both must sign. If there are more than two applicants, please continue on a separate sheet. If additional pages are added, each separate page must be initialled by all applicants.)

Signature of first or sole applicant

Date

D	D	M	M	Y	Y	Y	Y
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Full name

Signature of second applicant (if any)

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Full name

Section J2 – Acceptance of appointment – to be completed by the investment adviser representative

Payment details for investment adviser representative fees

Payments will be made in the currency and to the bank account already held on our records.

(13) Basis on which this authority is given:

- (a) I confirm I hold the relevant authority necessary under the regulations applicable to the Monetary Authority of Singapore (MAS) to act in accordance with my appointment as Investment Adviser Representative.
- (b) I confirm I will remain authorised to transact this type of business whilst I am acting as the Investment Adviser Representative to this portfolio fund. I also confirm I will notify Quilter International of any changes to my authorisation.

(14) Please complete the appropriate section below (✓):

Investment Adviser Representative Authority (applicant has selected option 1).

I understand that some of the Quilter International products allow investment into assets which are only suitable for Professional Investors. If the Policyholder informs me that they do not want me to invest into assets which are only suitable for Professional Investors then I will not choose these assets to link to their Policy.

I confirm that I hold the appropriate authorisation from the Monetary Authority of Singapore to provide ongoing investment advice to the policyholder(s).

I understand that Quilter International can act upon investment instructions that have been given by the policyholder(s) or the Investment Adviser Representative.

I understand that I must obtain a written agreement of the policyholder(s) to any investment advice given and that I may be asked to provide evidence of such to Quilter International, if requested.

MAS licence number:

Discretionary Investment Adviser Representative Authority (applicant has selected option 2).

I confirm that I hold a Capital Markets Services (CMS) licence that allows me to provide investment instructions on behalf of the policyholder(s) to Quilter International.

I, the authorised Investment Adviser Representative, confirm and agree that:

- There is an agreement in place ('Terms of Business') that allows the Investment Adviser Representative to make any investment decisions about the policy.
- The Investment Adviser Representative firm is fully responsible to the policyholder(s) for investment decisions, made by the Investment Adviser Representative, on the policy. The investment decisions and the investment choice must comply with the types of investment permitted in the policy terms and the product summary.
- The policyholder(s) has the right to claim directly against the Investment Adviser Representative firm in relation to any act or omissions of the Investment Adviser Representative.

Capital Markets Services
licence number:

Telephone number

E-mail address

I have read the Conditions listed in this form and agree to act in accordance with them. I confirm I will notify you of any changes to my/our authority.

Signature of authorised
representative of Investment
Adviser firm

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Full name of signatory

Position

► Please provide us with an authorised signatory list for your company showing all individuals authorised to place instructions with us on behalf of your company. In the absence of a signatory list we will assume the only authorised individual is the signatory on this form.

Section K – Quilter International charges

► Before completing this section, please ask your financial adviser representative for a copy of the charging structure details recommended to you.

The charging structure for your bond is based upon the reference code provided on your application; this will dictate the level, term and type of charges that apply and these will be confirmed to you in your policy documents. These charges will include our administration costs together with those incurred in making any initial commission payment to your financial adviser representative.

If you have agreed to pay your financial adviser representative an ongoing commission payment (referred to as fund based commission) then this will be reflected in the deduction of an additional Ongoing Service Charge equivalent to the amount paid.

Please enter the code for the Quilter International charge package that your financial adviser representative has explained will apply to your Executive Investment Account. The appropriate Quilter International charging code should be used. Failure to provide the right code could result in the incorrect package being applied and/or delays.

Please enter the premium
allocation percentage for
this policy

%

Please enter fund-based
commission (if applicable)

%

Section L – Declaration and application

Data privacy statement

I understand that Quilter International Business Services, Quilter International Isle of Man Limited, Quilter International Trust Company Limited and/or Quilter International Ireland dac (Quilter International) will process personal information about me and any other party whose personal information I have provided.

The type of personal information processed about me will depend on the purpose for which it has been collected and will include:

- my contact details
- information to verify my identity
- information about my family, lifestyle, health and finances
- my payment details.

The processing of my personal information may take place in a number of jurisdictions and may be shared with other parties within or outside the Utmost group of companies for the general purpose of establishing, maintaining and servicing an insurance policy. The sharing of my personal data may be used for any or all of the following purposes, to:

- check against credit reference or other databases to verify information provided for regulatory due diligence purposes and to prevent or detect financial crime including money laundering, terrorist financing, bribery and corruption, sanctions listing or fraud;
- allow for the provision of services relating to enhanced due diligence, underwriting, reinsurance, data hosting, online services, payment or reporting of any tax or levy, or any other services provided from time to time;
- enable an appointed financial adviser or fund adviser to assist in the provision of services to the policyholder;
- compile statistical analysis or market research, where information is not specific to the individual;
- comply with any legal obligation which includes the releasing of personal information to regulators, law enforcement authorities or other bodies where there is a legal requirement to do so, including the sharing of information under regulations relating to the U.S Foreign Account Tax Compliance Act and The Organisation for Economic Co-operation and Development Common Reporting Standards;
- enable an appointed discretionary asset manager or custodian to meet their legal or regulatory requirements, where that discretionary asset manager or custodian providing services in relation to a policy requests the personal data of an individual linked to an application, and where we are satisfied that such a discretionary asset manager or custodian has a legal or regulatory requirement to make such a request.

Where my personal information is shared with a third party for the provision of services relating to my policy, my personal information will only be used for the purposes for which it was collected. In some circumstances this may involve a transfer of my personal information to a third party outside the European Economic Area (EEA). Whenever my personal information is shared it will be subject to the same levels of security and protection that Quilter International would apply.

I may ask Quilter International to:

- provide a copy of personal information held about me and an explanation of how this data is processed;
- update or correct my personal information;
- delete information about me (where it is no longer necessary in relation to the purpose for which it was originally collected);
- restrict processing of my personal information where appropriate. I may also object to Quilter International processing my data but understand that this may have consequences in Quilter International being able to continue servicing my policy.

I have been made aware that a full explanation of how Quilter International collects, uses and shares my personal information can be found at <https://www.quilterinternational.com/privacy-notice/>

If I have any questions about data privacy I can address these to:

For Quilter International Isle of Man Limited or Quilter International Trust Company Limited: The Data Protection Officer, Quilter International Isle of Man Limited, King Edward Bay House, King Edward Road, Onchan, Isle of Man, British Isles, IM99 1NU.

Or email: QINTL.DPO@Quilter.com

For Quilter International Ireland dac: The Data Protection Officer, Quilter International Ireland dac, Navan Business Park, Athlumney, Co Meath, C15 CCW8, Ireland.

Or email: dataprotection@utmost.ie

If I have a complaint about the processing of my personal information and Quilter International is unable to provide a satisfactory response I may contact the appropriate regulator:

For Quilter International Isle of Man Limited or Quilter International Trust Company Limited: The Isle of Man Information Commissioner, First Floor, Prospect Hill, Douglas, Isle of Man, IM1 1ET.

For Quilter International Ireland dac: The Ireland Data Protection Commissioner, Canal House, Station Road, Portarlington, R32 AP23 Co. Laois, Ireland.

As the Isle of Man is not part of the United Kingdom, our Appointed Representative in the United Kingdom is an establishment of Utmost Services Limited based at Saddlers House, 5th Floor, 44 Gutter Lane, London, EC2V 6BR.

I have read and understood the Data Privacy Statement set out above and will make it available to other individuals whose personal information has been provided by me to Quilter International either in this application or within accompanying documentation.

Warning

Please read the declaration carefully. Any omission or misstatement of a material fact in this application could affect the payment of benefits under the Executive Investment Account. A material fact is one which is likely to influence the assessment and acceptance of the application.

If you are uncertain whether a fact is material, you should give full details so that Quilter International can assess its possible significance. If you become aware of such a fact while Quilter International is considering your application, you should notify Quilter International immediately.

You should satisfy yourself under any taxation, exchange control or insurance law to which you may be subject that you are able to effect the proposed contract. You are responsible for any tax reporting and liability in relation to your Policy required by the relevant tax authorities. Your country of residence could vary how your Policy is taxed, and you should seek professional tax advice before moving to a new country.

Declaration – to each applicant

A copy of this completed application form is available on request.

References to the word 'I' in this declaration refer to:

1. Each applicant named in this application where they are individuals or individuals acting as trustees in relation to a trust; or
2. Each director/authorised signatory on behalf of the company where the applicant is a company, including where the applicant is a trust company.

Quilter International Isle of Man Limited Singapore Branch will be referred to as Quilter International throughout this declaration.

Declaration applicable to all applicants

1. I understand that Quilter International has designated this Policy as suitable only for Professional Investors as defined by Quilter International in the Policy terms.
2. I confirm that I meet the definition of a Professional Investor. I understand that Quilter International do not have any details of my circumstances or characteristics, will not undertake any investigations as to whether I meet this definition, and will rely solely on my confirmation, as part of their application acceptance criteria, that I meet the definition of a Professional Investor.
3. I understand that the Policy allows investment into various types of assets and some of these assets are only suitable for Professional Investors. I accept the level of risk associated with these assets including the risk that the investment into such an asset:
 - a) could provide a lesser degree of investor protection and regulatory safeguards; and
 - b) could result in a loss of significant proportion of some or all of the sums invested; and
 - c) may have a minimum duration, impose significant redemption penalties or are illiquid.
4. I understand that I should read the offering documents for assets suitable for Professional Investors.
5. I understand that if I consider myself to be a Retail Investor in the future, Quilter International will not restrict the choice of assets available under the Policy. I also understand that it will be my responsibility to:
 - only choose assets which are suitable for Retail Investors; or
 - to inform the Investment Adviser Representative that I am now a Retail Investor.
6. I understand that I will have an Online Service Account set up, should I wish to activate it. If I do activate my Online Service Account, whilst I am submitting this application through my financial adviser representative to your Head Office, I agree that:
 - (a) I will apply for and sign onto my Online Service Account; and
 - (b) all Policy Transactions will be made by me using my Online Service Account where the Online Service allows, unless I have requested otherwise; and
 - (c) all communications from you will be through my Online Service Account where the Online Service allows, unless I have requested otherwise.
7. I understand and agree that the contract I am applying to enter with Quilter International will be subject to the laws of Singapore and the Policy Terms will be in the English language.
8.
 - (a) If a policy number is not shown in section A of this form, I request that the amount shown in section E be invested as an initial premium for policies comprising a Quilter International Executive Investment Account, and request Quilter International to issue the policies in
 - (i) my name, jointly with the other applicants, if any;
 - (ii) in the name of the company, if applicable; or
 - (iii) in the name of the trust, if applicable.
 - (b) I request that the amount shown in section E be invested as an additional premium for the policies currently in force bearing policy numbers consisting of the policy number, as shown in section A of this form.

9. I declare that to the best of my knowledge and belief, the statements made in this application, and any related documents, are true and complete and that I have not concealed any material fact. I agree to provide Quilter International with any further information in respect of the Application on request.
10. Where this application is for an Executive Investment Account, I confirm that each life assured (or parent where parental consent is required) consents to this application and agrees to my acting on their behalf for the purposes of the information provided in this application.
11. I authorise and request Quilter International to effect the transaction detailed in section H and confirm that such payments will discharge Quilter International from all liabilities and claims arising from those regular withdrawals. I understand that this authority supersedes any authority previously given.
12. I confirm that I am not a resident in the United States of America or any of its territories. Where the applicant is a corporation/trust company, the corporation/trust company is not incorporated in the United States of America or its territories.
13. If I become resident, or where the applicant is a corporation/trust company, the corporation/trust company becomes incorporated in the United States of America or its territories, I understand that Quilter International will not accept any further premiums until after I cease to be a resident of the United States of America or its territories, or the corporation/trust company, ceases to be incorporated in the United States of America or its territories.
14. I confirm that I have received a copy of the Executive Investment Account product brochure, product summary, confirmation of charges, Policy Terms and Your Guide To Life Insurance, and I have had the opportunity to read them when completing this application form.
15. I confirm that the premium for this Policy has not been sourced from my Central Provident Fund (CPF) account.
16. I am aware of the charges payable on the Executive Investment Account, including the charges payable in respect of the assets which may be held within it. I understand that the charges exist partly to meet advice, promotion and distribution expenses. These may include initial and ongoing payments (such as commission) made by Quilter International to my financial adviser representative. These payments could be in addition to any commission payable by the asset provider to the financial adviser representative in respect of the assets held. I understand that Quilter International may receive payments in the form of fund manager rebates from an asset provider in respect of the assets held, and which Quilter International may share with my financial adviser representative.
17. I confirm that in cases where the asset(s) I have selected is not redeemable for a certain period of time, Quilter International may not be able to return that part of my payment until the end of that period. The description of the funds and/or assets I have chosen will give details if this applies.

I may invest immediately into non-daily dealing funds with the understanding that in the event of cancellation or requiring early access that:
 - (a) I may not get my money back immediately and payment may be delayed for some time;
 - (b) the institution may impose penalties and therefore I may get back less than I invested, and/or
 - (c) the only way in which to receive value may be through an in-specie transfer of that asset into my own name.
18. I appoint the financial adviser representative specified on page 1 of my application form to act on my behalf in accordance with the policy terms.
19. I understand that the original copy of this application form and relevant documents must be delivered by post or courier to Quilter International Isle of Man Limited Singapore Branch - CapitaGreen #06-02, 138 Market Street, Singapore 048946.
20. I confirm that Quilter International has not provided any investment advice to me and I, or my Investment Adviser Representative, am responsible for the selection of assets to be linked to the Portfolio Fund value of my policy arising from the investment. Quilter International does not have any responsibility for the management of the underlying assets and does not recommend any asset as a suitable investment.
21. The premium shown in Section E (Investment details) and any other premium tendered in respect of this application is derived solely from the Source of Funds shown in Section G and has, where required, been declared to the relevant tax authority in my country of residence for taxation.

Additional declarations applicable to trustee applicants:

22. The application for a Quilter International policy is not being made for the purpose of concealing funds, assets or wealth with a view to the evasion of any taxes I am obliged to pay.
23. You can access monthly statements and valuations easily from Wealth Interactive, our online service (www.quilterinternational.com).

If you are not registered for Wealth Interactive we will send you monthly valuations unless you prefer not to receive them monthly in which case we will send you a quarterly valuation.

Would you prefer to be sent monthly valuation statements?

Yes No

24. I, as director of the company, confirm the investment into Executive Investment Account is within the powers available to me as directors of the company.
25. I confirm that the company has not been or is not in the process of being dissolved, struck off, wound-up or terminated.

26. I confirm that the company shares are not held in bearer form and will not be converted to bearer share form.
27. I confirm that the investment into an Executive Investment Account is within the investment powers available to us as trustee of the trust.
28. For a corporate trustee application, I confirm that the trust company has not been, or is not in the process of being, dissolved, struck off, wound up or terminated.
29. For a corporate trustee application, I confirm that the company shares are not held in bearer form and will not be converted to bearer share form.

Appointment of a Lead Policyholder – applicable if there is more than one applicant or where the applicant is a company or trustee

1. I agree to the appointment of the Lead Policyholder, who is named in this application, for the policies comprising our Quilter International Executive Investment Account in accordance with the Policy Terms.
2. I understand that this appointment is revocable and can be changed at any time (as explained in the Policy Terms).
3. I understand that by agreeing to the appointment of the Lead Policyholder, I authorise the Lead Policyholder to provide Quilter International with instructions to carry out and request certain Policy Transactions on behalf of all Policyholders. The instruction or request shall be deemed to have been addressed, sent and authorised on behalf of all Policyholders.
4. I understand that these instructions will be legally binding and that Quilter International can act on instructions received from the Lead Policyholder.
5. Where the policyholder is a company, I confirm that it has authority to delegate all decisions on behalf of the company to the Lead Policyholder.
6. Where the policyholder is a trust, I confirm that the provisions of the trust allow delegation of authority to one trustee to act on behalf of all trustees.

Information on assets

Where requested by you, we will provide documents or reports from providers of the underlying assets in which your Portfolio Fund invests. If you require this information, please tick 'Yes'. If 'Yes' is ticked we will charge S\$140/US\$105/£70/€105 for every document that we send to you.

I/We wish to receive any documents or reports from providers of the underlying assets in which my/our Portfolio Fund invests at a cost of S\$140/US\$105/£70/€105 for each document that is sent to me/us. Yes No

Singapore residency declaration

This is a requirement by Monetary Authority of Singapore (MAS). Please tick the relevant box below.

- | | Applicant 1 | Applicant 2
(if any) | Life assured 1 | Life assured 2
(if any) |
|--|-------------|-------------------------|----------------|----------------------------|
| 1. I am " <u>ordinarily resident</u> " in Singapore under the following conditions: | | | | |
| a) citizen of Singapore, as I have not resided outside Singapore continuously for five or more years and/or I am currently residing in Singapore, or | | | | |
| b) permanent resident or work pass/permit holder, as I have not resided in Singapore for less than a total of 183 days in the last 12 months, or | | | | |
| c) hold a pass or permit issued under the Immigration Act (Cap. 33) that has a duration longer than 90 days and have resided in Singapore continuously for at least 90 days during the last 12 months. | | | | |
| 2. I am not " <u>ordinarily resident</u> " as per criteria in Q1 but hold Singapore citizenship or relevant pass issued by the Immigration of Checkpoints Authority of Singapore (ICA). | | | | |
| a) I will provide evidence to support non-residency as per above conditions such as employment letter. | | | | |
| b) I will not provide evidence to support non-residency as per above conditions. I therefore consent to be considered "ordinarily resident" in Singapore. | | | | |
| 3. I am not " <u>ordinarily resident</u> " as per criteria in Q1 and do not hold Singapore citizenship or relevant pass issued by the Immigration of Checkpoints Authority of Singapore (ICA). | | | | |



Please note if one person is considered as an “ordinary resident” then the policy is considered to be a Singapore resident policy, even if the other policyholder(s)/life assured are not.

1. The premium shown in Section E (Investment Details) and any other premium tendered in respect of this application is derived solely from the Source of Funds shown in Section G and has, where required, been declared to the relevant tax authority in my country of residence for taxation.
2. The application for a Quilter International policy is not being made for the purpose of concealing funds, assets or wealth with a view to the evasion of any taxes I am obliged to pay.

Accredited investor declaration

Please tick where applicable.

I/We confirm that I am/we are an Accredited Investor as defined in the Securities and Futures Act (Cap 289) and amending regulations as being:

- either an Individual; (✓)
- whose net personal assets are at least S\$2 million or equivalent in a foreign currency and the net equity of my primary residence contributes no more than S\$1 million towards my/our S\$2 million net personal assets; (✓) or
- whose annual income in the last 12 months is at least S\$300,000 or equivalent in a foreign currency; (✓) or
- whose financial assets (net of any related liabilities) are at least S\$1million (✓)

I/We confirm that my/our financial adviser representative has explained and I/we understand the implications of being treated as an Accredited Investor and I/we consent to being treated as such. (✓)

I confirm that I am/we are an Accredited Investor as defined in the Securities and Futures Act (Cap.289) and amending regulations as being a **Corporation** with net assets at least S\$10,000,000 in value (or equivalent in a foreign currency) as determined by the most recent audited balance sheet of the corporation or where the corporation is not required to prepare audited accounts regularly, a balance sheet of the corporation certified by the corporation as giving a true and fair view of the state of affairs of the corporation as of the date of the balance sheet, which is a date within the preceding 12 months). (✓)

I confirm that I am/we are an Accredited Investor as defined in the Securities and Futures Act (Cap.289) and amending regulations as being a **Trustee** of such trust as MAS may prescribe, when acting in the capacity of Trustee. (✓)

Please enter the country in which this application form was completed.

Did you or an authorised signatory complete this application form? (✓)

Yes No

If No, did a third party, such as your financial adviser representative, complete it on your behalf? (✓)

Yes No

By signing this declaration you confirm that you have read through the above declaration and, if a third party has completed the application form on your behalf, that all the information provided in it is correct.

Signature of applicant 1/
Authorised signatory 1/
Trustee 1

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Signature of applicant 2/
Authorised signatory 2/
Trustee 2

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Signature of applicant 3/
Authorised signatory 3/
Trustee 3

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Signature of applicant 4/
Authorised signatory 4/
Trustee 4

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Copies of the Policy Terms and/or this completed application are available on request. A copy of the policy terms for your application are contained on the Quilter International website. Links to these policy terms are provided below.

www.quilterinternational.com/siteassets/documents/application-form/9303_eia_application_for_individual_investors.pdf



M1 – Verification of customer identity – financial adviser representative/suitable certifier to complete – this section applies to all applicant types

► Please note that we will not be able to issue the policy until you have provided the necessary identification documentation and completed this section.

We require one document from part A and one from part B. If neither document in part A is available, please provide the reason why and provide two formal documents showing appropriate personal details and verifiable reference numbers from part B.

When certifying a document, the certifier should state **“I hereby confirm that this document is a true copy of the original which I have sighted”**.

If the document is verifying the identity of a natural person, the certifier should also state that they have met the person whose identity is being verified and use the following statement **“I hereby confirm that this document is a true copy of the original which I have sighted and the photograph represents a good likeness of the client who I have met”**

The suitable certifier must provide the following:

- his/her full name and signature
- his/her company name and position within the company
- the date of certification

Identification documentation should be current and valid. Evidence of address should be the latest available, but no more than six months old.

► Please tick (✓) to indicate the identification you have supplied for each individual party to the policy. If an Investment Adviser Representative has been appointed we may need to verify the identity of the appointee.

Part A – Individual whose identity is being verified

1. Name

Capacity

Type of document

Passport

National identity card

Document reference

2. Name

Capacity

Type of document

Passport

National identity card

Document reference

Part A – Reason why documents are not provided (if applicable)

Part B – Proof of residence – Individual whose identity is being verified

These must be less than six months old

1

2

1. A recent utility, rates or council tax bill (mobile/cell phone bills not acceptable)
2. A recent mortgage statement, giving the residential address
3. An extract from the official register of electors
4. A state pension, benefit or other government produced document showing benefit entitlement
5. A recent tax assessment document
6. A recent account statement from bank or credit card (store cards not acceptable)
7. Proof of ownership or rental of the residential address

If there are more than two applicants, please photocopy **section M1**, attach the details with this application form and tick here (✓)

► **If additional pages are added, each separate page must be initialled by all applicants.**

Please contact us if you are unable to supply any of the above mentioned documents.

Financial crime – risk rating

► **Please refer to the Source of Funds and Source of Wealth guidelines (available from Quilter International) for information on how to complete the table below.**

To prevent financial crime, Isle of Man authorised life companies may adopt a 'risk-based approach' when obtaining evidence of the source of a client's wealth. In order to speed up the application process we have provided you with the table below to allow you to find out your client's risk rating before submitting the application form to us. **We highly recommend that you complete the risk rating as it allows you to determine if you need to enclose further documentary evidence with the application form or not. If we do not receive the necessary documentary evidence with the application form, then it will take longer to process the business.**

In order to decide what risk rating applies to your client's investment you need to take into account the following factors:

- (a) your client's country of residence
 - (b) which country the premium is paid from.
- (a) + (b) = total risk rating

Applicant	Client country of residence (a)	Country of premium funding (b)	Total risk rating
Example	Singapore (1)	Singapore (1)	2

Quilter International reserves the right to request further documentation if it is felt appropriate.

Please note that each new application or application for an additional investment will be updated individually. If additional pages are added, each separate page must be initialled by all applicants. Please contact us if you are unable to supply any of the above-mentioned documents.

M2 – Verification of customer identity – financial adviser representative/suitable certifier to complete additional information for non corporate trustee applicants

Enclosed (✓)

1. Verification of the identity and address of all individual trustees.
2. Verification of the identity and address of the protector (if any).
3. Evidence of the appointment of trustees (for example a certified copy of an extract from the trust deed, but not the whole deed) if the application is in respect of an existing trust.
4. The trustees (settlor(s) where policies to be settled into trust) should provide the name, current residential address and date of birth or death for all the parties to the trust, for example settlor/donor, protector, beneficiaries. If the beneficiaries are not named you must provide the class of beneficiaries, for example grandchildren.

Capacity (✓)	Settlor/Donor	Protector	Beneficiary
Telephone number	<input type="text"/>		
Date of birth or death	<div> <div>D</div><div>D</div><div>M</div><div>M</div><div>Y</div><div>Y</div><div>Y</div><div>Y</div> </div>		<div>Birth</div> <div>Death</div>
Address	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>		Postcode

Capacity (✓)	Settlor/Donor	Protector	Beneficiary
Telephone number	<input type="text"/>		
Date of birth or death	<div> <div>D</div><div>D</div><div>M</div><div>M</div><div>Y</div><div>Y</div><div>Y</div><div>Y</div> </div>		<div>Birth</div> <div>Death</div>
Address	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>		Postcode

Capacity (✓)	Settlor/Donor	Protector	Beneficiary
Telephone number	<input type="text"/>		
Date of birth or death	<div> <div>D</div><div>D</div><div>M</div><div>M</div><div>Y</div><div>Y</div><div>Y</div><div>Y</div> </div>		<div>Birth</div> <div>Death</div>
Address	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>		Postcode

Class of beneficiary if not named (an extract of the deed may be provided)

<input type="text"/>
<input type="text"/>

You may also wish to provide identification documentation at the time of application/assignment for the named parties to the trust (for example, beneficiaries) in order to avoid delays on subsequent transactions where documentary evidence is required. If there are more parties to the trust, please photocopy this page, attach the details with this application form and tick here (✓)

M3 – Verification of customer identity – financial adviser representative/suitable certifier to complete – additional information for corporate/corporate trustee applicants

Enclosed (✓)

1. Certified copy of the Certificate of Incorporation or the other official registration document.
2. Evidence of the registered office of the company, e.g. business registration (where applicable).
3. Certified copy of the company search enquiry of the company registry (same as the place of incorporation of the company), or certified certificate of incumbency or equivalent as issued by the company's registered agent, within the last six months.
4. Certified authorised signatory list or extract from board minutes empowering a named person to open/operate an account
5. Verification of identity of two directors of the company, one of whom must be an executive director.
6. Verification of identity of one signatory empowered to give instructions (if not one of the directors identified above).
7. Trading company – latest annual report and accounts. Non-trading company – evidence of the Source of Funds.

8. Private limited company – Verification of the identity of all shareholders holding 25% (or 10% for high risk circumstances) or more of the issued share capital as at the date of the application. Where the shareholders are not individuals, or where there are any individuals (other than shareholder) who exercise ultimate control over the management of the company, we will require verification of the identity of the ultimate beneficial owner of those entities / individuals and their relationship to the company.
9. For corporate trustee application - Evidence of the appointment of trustees (for example a certified copy of an extract from the trust deed, but not the whole deed) if the application is in respect of an existing trust.

Section N – Financial adviser representative/Suitable certifier

This section must be completed in all instances.

Declaration by the Financial adviser representative/Suitable certifier

I declare that:

- I have taken reasonable steps to make sure that the funding is legitimate and in line with the client's circumstances.
- To the best of my knowledge and belief, all the information provided in and with this application is true and complete and was obtained from the applicant(s) who is/are of good standing. I also confirm I will provide further information if required.
- I have not made any changes to the application form after the client has signed it.
- I confirm that, if applicable, all information provided was received directly from the applicant(s).
- I confirm that the fact find form has been duly completed, verified and signed off.
- I have verified the contents of the original documents where copies have been enclosed and confirm that they are true copies of the originals.

Please complete one of the following:

I have met my client(s) in person

I have met my client(s) face-to-face via secure live video stream *(complete part 1 below)*

I have not met my client(s) face-to-face *(complete part 2)*

By providing certification for Customer Due Diligence documents where these have been viewed and verified via secure live video stream, you confirm:

- 1) That the client held their ID beside their face to confirm the document as a true likeness.
- 2) The other elements of the Customer Due Diligence (CDD) were held up by the clients so I could verify they were a true likeness to those in my possession.
- 3) That I obtained evidence by retaining a recording of the video meeting or by taking a picture of my client with their CDD for record keeping purposes and to validate my certification. I will provide this to Quilter International upon request.

The following certification must be used on each copy document:

I certify this document as a copy of the document that I have seen through <insert method of communication used> held on <x date> between me and the applicant /policyholder. The document has not been tampered with and I have received the same confirmation from the applicant /policyholder.

For photo ID please include the following: *I confirm that the image is a true likeness of the applicant /policyholder.*

The certification must also include standard information relating to the certifier including full name, position/capacity in which they are certifying the document, contact details and date.

1. Where you have not met your client(s) in person or face-to-face via secure live video stream please complete the following:

All elements of the CDD have been sent directly to Quilter International by my client(s) in an original and acceptable format

All elements of the CDD have been obtained from and/or certified by a third party who has met my client(s) in person or face-to-face via secure live video stream

Please confirm which items of CDD you have provided:

Identity document

Proof of residential address

Source of Funds

Source of Wealth

Where a third party has been involved in the due diligence process and has met your client(s) and subsequently verified the CDD, please provide the following:

Name of individual who met your client(s) and certified the CDD

Date of Birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Residential Address

Postcode	Country

Registered Company Name

Registered Company Address

Postcode	Country

Where there is more than one third party involved in obtaining the CDD please contact your Quilter International Sales Consultant.

I confirm that I gave advice concerning this policy to the applicant(s) in (name of country)

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On

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Monetary Authority of Singapore Representative Number

--	--	--	--	--	--	--	--	--	--	--	--

Full name of introducer firm

--

Full name of financial adviser representative/suitable certifier

--

Signature of financial adviser representative/suitable certifier

--

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Introducer firm stamp

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Note: This form is unacceptable if not dated and stamped by the signatories. This is mandatory according to the Monetary Authority of Singapore.

Quilter International Isle of Man Limited Singapore Branch 'Quilter International' is a member of the Policy Owners' Protection Scheme (PPF Scheme) in Singapore. However, this Policy issued by Quilter International (where no additional life cover purchased) is not covered under the PPF Scheme. Details of the PPF Scheme can be obtained at Singapore Deposit Insurance corporation Limited's website on www.sdic.org.sg.



A vertical green bar with a geometric pattern of triangles on the left side of the title.

Telegraphic Transfers Details to Quilter International Isle of Man Limited

This only applies to Singapore regulated policies via wealth interactive

This document was last updated in November 2020. Please confirm with your financial adviser representative that this is the most up-to-date document for your product or servicing needs.

Transfers must be the exact amount(s) and sent from the bank account(s) stated in your online application. This is critical for our cash matching system to process your payment. Should your remittance amount be a different amount(s) or from a different account(s) as stated in your online application then please contact us to advise of the details at QINTLfinance@quilter.com.

Section A – Payments from Singapore Banks in Singapore dollars, US dollars, Euro and Sterling

Account Name:	Quilter International Isle of Man Limited Singapore Branch
Swift Code:	HSBC SGSGXXX
Branch:	HSBC
Branch Address:	21, Collyer Quay, HSBC Building, Singapore 049320
A/c Singapore dollar:	147-409064-001
A/c US dollar:	260-601471-178
A/c Euro:	260-601471-179
A/c Sterling:	260-601471-180

Section B – Payments from overseas banks and other currency payments (Swift payments)

Sterling Payments		All Other Currency Payments (SWIFT Payments)	
Swift	NWBKGB2147K	SWIFT Code:	NWBKGB2LXXX
Sort Code:	56 00 68	Bank:	National Westminster Bank, London
Bank:	National Westminster Bank Southampton.	Beneficiary:	Quilter International Isle of Man Limited Singapore Branch
Beneficiary:	Quilter International Isle of Man Limited Singapore Branch	Account Number:	(Select as applicable below):
IBAN:	GB44NWBK56006837880527		
Account Number:	37880527		

Currency	IBAN:	Account Number
USD	GB31 NWBK 6073 0140 5312 87	140-00-40531287
EUR	GB80 NWBK 6072 1340 5313 25	550-00-40531325
AUD	GB50 NWBK 6073 0140 5313 33	160-00-40531333
CAD	GB75 NWBK 6073 0140 5313 68	150-00-40531368
CHF	GB53 NWBK 6073 0140 5313 76	234-00-40531376
DKK	GB09 NWBK 6073 0140 5313 92	175-00-40531392
HKD	GB19 NWBK 6073 0140 5314 06	338-00-40531406
JPY	GB94 NWBK 6073 0140 5314 14	349-00-40531414
NOK	GB53 NWBK 6073 0140 5314 73	222-00-40531473
NZD	GB97 NWBK 6073 0140 5315 54	217-00-40531554
SEK	GB44 NWBK 6073 0140 5316 35	232-00-40531635
SGD	GB22 NWBK 6073 0140 5316 43	409-00-40531643

Please note that when sending payments electronically, spaces should be omitted from the IBAN numbers. Please ensure that policy number and/or policyholder name are quoted as reference details in the payment.

www.quilterinternational.com

Calls may be monitored and recorded for training purposes and to avoid misunderstandings.

Quilter International is the registered business name of Quilter International Isle of Man Limited Singapore Branch.
Quilter International Isle of Man Limited Singapore Branch, CapitaGreen #06-02, 138 Market Street, Singapore 048946.
Phone: +65 6216 7990 Fax: +65 6216 7999.

Registered in Singapore Number T08FC7158E. Authorised by the Monetary Authority of Singapore to conduct life assurance business in Singapore.
Member of the Life Insurance Association of Singapore. Member of the Singapore Finance Dispute Resolution Scheme.

Quilter International Isle of Man Limited is registered in the Isle of Man under number 24916C.
Registered Office/Head Office: King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles.
Licensed by the Isle of Man Financial Services Authority.

Quilter International is registered in the Isle of Man as a business name of Quilter International Isle of Man Limited.

9303/INT21-0955/October 2021